

INSTRUCTIONS:

1. This Transaction Form can be used for all Non-Financial transactions that an existing account holder may want to make with HSBC Mutual Funds.
2. **Nomination:** The nomination details should be filled up only by investors who opt for allotment in physical (non demat) form. In case of units held in electronic (demat) form, the nomination details as recorded for the depository account shall be applicable.
 - (a) The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
 - (b) Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.
 - (c) There can be no nomination in a folio held in the name of minor.
 - (d) The investors/Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the 'Share/Ratio' of nomination has to be clearly specified in the nomination form/request letter. If the 'Share/Ratio' of nomination is not explicitly stated, then the nomination shall be treated at 'Equal Share/Ratio'.
 - (e) A minor can be nominated and in that event, the name and address of the Guardian of the minor nominee shall be provided by the Unitholder.
 - (f) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination can also be made in favour of the Central Government, State Government, Local Authority, any person designated by virtue of his office or a religious or charitable trust.
 - (g) Nomination in respect of the Units stands rescinded upon the transmission of Units.
 - (h) Transmission of Units in favour of a Nominee shall be valid discharge by the AMC/Mutual Fund/Trustees against the legal heir.
 - (i) The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
 - (j) On cancellation of the nomination, the nomination shall stand rescinded and the AMC/Mutual Fund/Trustees shall not be under any obligation to transmit the Units in favour of the Nominee.
 - (k) The rights in the units will rest in the Nominee(s) only upon death of all Unitholders.
 - (l) Every new nomination for a folio/account will overwrite the existing nomination.
 - (m) Nomination shall be mandatory for new folios/accounts opened by individual especially with sole holding and no new folios/accounts for individuals in single holding should be opened without nomination.
 - (n) In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.
3. **Change of address:** Change of address request for folios that are KRA compliant will not be registered by the fund and investor should get the address updated with KRA first.
4. **POA Registration:** Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper for an amount of INR 500 and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents.